

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Catherine Defrenne et al.
Application No./Patent No.: 09/936,377 Filed/Issue Date: February 26, 2002
Entitled: BASB082 POLYPEPTIDES

GlaxoSmithKline Biologicals S.A.
(Name of Assignee)

a Corporation
(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as follows:

1. From: Catherine Defrenne et al. To: SmithKline Beecham Biologicals S.A.

The document was recorded in the United States Patent and Trademark Office at
Reel 012644, Frame 0219, or for which a copy thereof is attached.

2. From: SmithKline Beecham Biologicals S.A. To: GlaxoSmithKline Biologicals S.A.

The document was recorded in the United States Patent and Trademark Office at
Reel 020005, Frame 0575, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the
original owner to the assignee was, or concurrently is being, submitted for recordation
pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment
Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP
302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Marcus Dalton
Signature

11 MAY 2009
Date

MARCUS DALTON
Typed or printed name

VP PATENTS
Title